

Attorney's Docket No. 2003DE416

☐ Duplicate
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop: Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

NEW UTILITY PATENT APPLICATION TRANSMITTAL

Sir:

Transmitted for filing on April 2, 2004 is the patent application of

**Inventors: Matthias LOEFFLER
Roman MORSCHHAEUSER**

Title: Process for the Preparation of Stable Polymer Concentrates

1. This new application is for a(n)
 - ☒ Original (non-provisional)
 - ☐ Divisional
 - ☐ Continuation
 - ☐ Continuation-in-Part
2. Papers enclosed that are required for filing date under 37 CFR 1.53(b) (Regular)
 - 19 Pages of Specification and Claims (7 in number)
 - 1 Page of Abstract
 - 0 sheet of drawing
3. Additional papers enclosed:
 - ☒ Preliminary Amendment
 - ☐ Information Disclosure Statement
 - ☐ Form PTO-1449
 - ☐ References Cited
4. Declaration or Oath:
 - ☐ Enclosed - EXECUTED BY INVENTORS
 - ☒ Not Enclosed

5. An assignment of the invention from the inventors to **Clariant GmbH** will follow.
6. Certified copy of the application from which priority is claimed is enclosed.

Country	Application No.	Filed
Germany	103 15 183.4	03 April 2003

7. Fee calculation

<u>CLAIMS AS FILED</u>				
Basic Filing Fee				\$ 770.00
Number Filed	Number Extra		Rate	
Total Claims: 10	Minus 20	= 0	X \$ 18.00	
Independent Claims: 1	Minus 3	= 0	X \$ 86.00	
Multiple Dependent Claims (if any)			+\$290.00	
TOTAL FEE DUE				\$ 770.00

- ☐ Amendment canceling extra claims is enclosed.
- ☐ Amendment deleting multiple-dependencies is enclosed.
- ☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation

\$770.00

8. Fee Payment Being Made at this Time

☐ Not enclosed -- No filing fee is to be paid at this time.

x Enclosed

X Basic Filing Fee

\$770.00

— Assignment Recordation Fee

0.00

Total Fees Enclosed

\$770.00

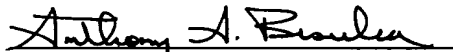
9. Method of Payment

x The total filing fee calculated above is authorized to be charged to Deposit Account No. 03-2060 for which purpose a duplicate copy of this cover sheet is attached.

10. Authorization to charge additional fees/instructions as to overpayment

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (application filing fees) or 37 CFR 1.17 (application processing fees) during the prosecution of this application, including any related application claiming benefit hereof pursuant to 35 USC §120 (e.g., continuations, divisionals and/or CIPs under 37 CFR §1.53(b) and/or continuations, divisionals and/or CIPs under 37 CFR §1.53(b)) to maintain pendency hereof of any such related application.

Respectfully submitted,



Anthony A. Bisulca, Reg. No. 40,913

For Richard P. Silverman, Reg. No. 36,277

(CUSTOMER NUMBER 25,255)


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CERTIFICATION UNDER 37 CFR 1.10

Express Mail Label Number ER654097278US

Date of Mailing: April 2, 2004

I hereby certify that on the date indicated above, this new U.S. patent application and the papers indicated as enclosed therein, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to: Commissioner for Patents, Mail Stop Patent Application, P. O. Box 1450, Alexandria, VA 22313-1450, in accordance with 37 CFR 1.10.



Signature of Person Mailing the Application

Vicki L. Sgro

Typed Name of Person Mailing the Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Roman MORSCHHAEUSER**

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Vicki L. Sgro